

### Appendix 3: Summary Strategic Risk Register: Status at Quarter Three 2021/22

The table below sets out risks to the achievement of the Council's strategic priorities at Quarter Three 2021/22 in order of severity, with impacts ranging from insignificant to extreme, and likelihood ranging from rare to almost certain to happen.

In line with its Risk and Opportunity Management Policy, the Council aims (where possible) to manage down High risks to the lowest practicable level immediately and Medium risks to the lowest practicable level within three months.

Risk Level	Risk	Likelihood	Impact	Trend
High	Failure to control expenditure within Children's Services (MFTP pressure).	Likely	Extreme	➔
High	Local uptake of the C19 vaccine is poor resulting in increased transmission	Likely	Extreme	➔
High	Insufficient funding available to meet demand / costs associated with the Health and Social Care Bill	Likely	Extreme	➔
High	Retail market distress impacting Middlesbrough town centre.	Almost Certain	Major	➔
High	Insufficient funding to meet increased expenditure that might be incurred from increased demand as a result of COVID-19 (MFTP pressure).	Possible	Extreme	➔
High	Failure to have a legally compliant approach to CCTV	Possible	Extreme	➔
High	Breach of data rights due to untimely response to information requests.	Possible	Major	➔
High	Insufficient year 7 school places available to meet demand over the next 5 years	Possible	Major	➔
High	High residential voids / low sale values within Middlesbrough.	Possible	Major	➔
High	Historical investigations requiring changes to social work practice.	Possible	Major	➔
High	New historic child abuse claims.	Possible	Major	➔
High	Failure to maintain adequate corporate governance.	Possible	Major	➔
High	Failure to align operations and culture within strategic objectives.	Possible	Major	➔
High	Decline in new housebuilding impact on Council income.	Possible	Major	➔

Risk Level	Risk	Likelihood	Impact	Trend
<b>High</b>	External factors (e.g. Brexit, COVID-19) impacting Middlesbrough's economy.	Possible	Major	➔
<b>High</b>	Future waste disposal contract costs (MTFP pressure).	Possible	Major	➔
<b>High</b>	Failure to adequately plan for new COVID-19 wave or subsequent pandemics.	Unlikely	Extreme	➔
<b>High</b>	Disruption from national / local elections.	Likely	Moderate	➔
<b>Medium</b>	UK / EU Trade and Security Deal adversely affecting Council operations.	Unlikely	Major	➔
<b>Medium</b>	Failure to develop effective partnerships.	Unlikely	Major	➔
<b>Medium</b>	National Waste Review (MTFP pressure).	Unlikely	Major	➔
<b>Medium</b>	Reduction in frontline policing impacting on crime / community resilience.	Possible	Moderate	➔
<b>Medium</b>	Failure to recruit and / or retain key staff.	Possible	Moderate	➔
<b>Medium</b>	Limited or no partnership involvement due to COVID-19 with the Children's Service Safeguarding Improvement Plan.	Possible	Moderate	➔
<b>Medium</b>	Terrorist incident (reflects National Threat Level).	Rare	Extreme	➔
<b>Medium</b>	Failure to adapt service delivery to COVID-19 Secure guidelines.	Rare	Extreme	➔
<b>Medium</b>	Poor skills profile of school leavers.	Unlikely	Moderate	➔
<b>Medium</b>	Inward migration increasing demand for services.	Unlikely	Moderate	➔